Attorney Reference Number 6616-72707-02
Application Number 10/697.787

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Federspiel et al.

Application No. 10/697,787 Filed: October 29, 2003 Confirmation No. 1171

For: GENERATION OF PLANTS WITH

IMPROVED PATHOGEN RESISTANCE
AND DROUGHT TOLERANCE

Examiner: Medina Ahmed Ibrahim

Art Unit: 1638

Attorney Reference No. 6616-72707-02

SUBMITTED VIA ELECTRONIC FILING SYSTEM UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION UNDER 37 CFR \$1.132

- I, Dr. John P. Davies, hold the position of Director II, Plant Trait Discovery, at Exelixis Plant Sciences, Portland, Oregon. I have a Ph.D. in Molecular Biology and have 24 years of experience working in the field of plant physiology. I have performed or supervised the experiments described herein, which are an extension of the work described in the abovereferenced application (U.S. Patent Application No. 10/697,787; hereinafter the '787 application).
- 2. I have participated in experiments demonstrating that certain orthologs of the polypeptide PRDT1 (SEQ ID NO: 2) confer both pathogen resistance and drought tolerance. Four of these orthologs are explicitly disclosed in Example 4 of the '787 application (SEQ ID NO: 11, SEQ ID NO: 12, SEQ ID NO: 13, and SEQ ID NO: 14) and supplemental data related to their pathogen resistance and drought tolerance phenotypes are presented herein, along with supplemental data for SEQ ID NO: 2. Data related to a fifth ortholog (referred to herein as "At4g36570") are also presented herein. At4g36570 is a DNA binding/transcription factor of Arabidopsis thaliama (GenBank Accession No. NP_195375.1) and has a SANT domain from residues 8 to 64.

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- 3. In order to characterize PRDT1 orthologs that confer pathogen resistance, the coding sequences of the PRDT1 homologous genes encoding SEQ ID NO: 11, SEQ ID NO: 12, SEQ ID NO: 13, SEQ ID NO: 14, and At4g36570 were cloned behind the strong constitutive CsVMV promoter and transformed into wild-type Col-0 Arabidopsis thaliana plants, as taught in the specification at Example 6. Primary transformants (T1 plants) were selected and allowed to go to seed. Seed from these plants were planted and evaluated for resistance to Peronospora parasitica as follows: In separate experiments for each PRDT1 homolog, approximately 75 to 100 individual seedlings from different primary transformants were planted, stratified and grown for 1 week in a growth chamber. The one week old seedlings were inoculated with Peronospora parasitica. After one week, the seedlings were scored for Peronospora parasitica infection by counting the number of conidophores on a cotyledon. Plants with no conidiophores on a cotyledon were scored 0, plants with 11-5 were scored 1, plants with 6-10 were scored 2, plants with 10-20 were scored 3 and plants with more than 20 conidophores on a cotyledon were scored 4. Wild-type Col-0 seedlings were included as a control.
- 4 Fourteen lines over-expressing SEO ID NO: 14 were examined. None of these lines were significantly more resistant to Peronospora parasitica than wild-type Col-0 plants (Exhibit A). Ten lines over-expressing SEQ ID NO: 11 were examined. Five of these lines were significantly more resistant to Peronospora parasitica than wild-type Col-0 plants; in these lines 31% to 65% of the transgenic plants were scored 0 or 1 while in wild-type Col-0 plants only 2% of the plants scored 0 or 1 (Exhibit B). Twelve lines over-expressing SEO ID NO: 12 were examined. Two of these lines were significantly more resistant to Peronospora parasitica than wild-type Col-0 plants; in these lines 32% and 56%, respectively, of the transgenic plants scored 0 or 1 while in wild-type Col-0 control plants only 3% and 1%, respectively, of the plants scored 0 or 1 (Exhibit C). Ten lines over-expressing SEQ ID NO: 13 were examined. None of these lines were significantly more resistant to Peronospora parasitica than wild-type Col-0 plants (Exhibit D). Nineteen lines over-expressing At4g36570 were examined. Eight of these lines were significantly more resistant to Peronospora parasitica than wild-type Col-0 plants; in these lines 36 to 100% of the transgenic plants were scored 0 or 1 while in wild-type Col-0 control plants 18%, of the plants scored 0 or 1 (Exhibit E). Thus, over-expression of SEO ID NO: 11.

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SEQ ID NO: 12, and At4g36570 confers resistance to *Peronospora parasitica* (see also Paragraph 9, below).

- 5. Supplemental pathogen resistance data from PRDT1 (SEQ ID NO: 2) was also gathered. Forty-eight lines over-expressing SEQ ID NO: 2 were examined. Thirty-two of these lines were significantly more resistant to *Peronospora parasitica* than wild-type Col-0 plants; in these lines, 40 to 100% of the transgenic plants were scored 0 or 1 while 0 to 16% of the control plants scored 0 or 1 (Exhibit F). These results supplement and further support the *Peronospora parasitica*-resistance data presented in the specification at Examples 2, 5 and 6.
- 6. In order to characterize PRDT1 orthologs that confer drought tolerance, plants containing activation tagging (ACTTAG) elements near the PRDT1 orthologous genes encoding SEQ ID NO: 11, SEQ ID NO: 12, SEQ ID NO: 13, and At4g36570 were identified, using methods as in the specification at Example 8. Primary transformants (T1 plants) were selected and allowed to go to seed. Seed from these plants were planted and evaluated for drought tolerance as follows: Approximately 18 seeds from T2 populations were planted in soil in 4 inch pots. The seed were stratified at 4° C for 3 days and then grown in the growth room for 4 weeks. Drought stress was imposed on the plants by withholding water 28 days after transfer to the growth room, at this time the plants were beginning to bolt. Observations on every individual plant in each pot were made after the last watering (day 0), seven days after the last watering (day 7), fourteen days after the last watering (day 14) and seventeen days after the last watering (day 17). Plants that were fully turgid were scored 0, plants that showed slight wilting were scored 1, plants that showed significant wilting were scored 2 and plants that were fully desiccated were scored 3.
- 7. The lines W000092158 and W000148331 contain ACTTAG elements within 10 kbp of the sequence encoding SEQ ID NO: 11 and were tested for a drought tolerant phenotype. After seventeen days without water, 72% of the Col-0 plants were fully desiccated. However, 100% of W000092158 and 58% of W000148331 plants were either fully turgid or slightly wilting, indicating that they are more tolerant of drought conditions than the wild-type Col-0 control plants (Exhibit B). The lines W000171162 and W000175277 contain ACTTAG elements within 10 kbp of the sequence encoding SEQ ID NO: 12 and were tested for a drought tolerant

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phenotype. However, neither of these lines showed an increase in drought tolerance compared with the wild-type Col-0 line (Exhibit C). The lines W000086832 and W000139673 contain ACTTAG elements within 10 kbp of the sequence encoding SEQ ID NO: 13 and were tested for a drought tolerant phenotype. 72% of the Col-0 plants were fully desiccated. However, 54% of W000086832 and 53% of W000139673 a plants were either fully turgid or slightly wilting, indicating that they are more tolerant of drought conditions than the wild-type Col-0 control plants (Exhibit D). The line W000025222 contains an ACTTAG element within 10 kbp of the sequence encoding A44g36570 was tested for a drought tolerant phenotype. After seventeen days without water, 72% of the Col-0 plants were fully desiccated. However, 64% of W000025222 a plants were either fully turgid or slightly wilting, indicating that they are more tolerant of drought conditions than the wild-type Col-0 control plants (Exhibit E). Thus, overexpression of SEQ ID NO: 11, SEQ ID NO: 13, and A44g36570 confers resistance to drought tolerance (see also Paragraph 9, below).

- 8. Supplemental drought tolerance data from PRDT1 (SEQ ID NO: 2) was also gathered. Experiments using ACTTAG lines containing inserts near PRDT1 were performed. The lines W000114956 and W000091083 contain inserts within 10 kbp of the sequence encoding SEQ ID NO: 2. After seventeen days without water, 72% of the Col-0 plants were fully desiccated. However, 73% of W000114956 and 61% of W000091083 plants were either fully turgid or slightly wilting, indicating that they are more tolerant of drought conditions than the wild-type Col-0 control plants (Exhibit F). Transgenic lines over-expressing PRDT1 were also tested in a drought tolerance experiment. After seventeen days without water 72% of the Col-0 plants were fully desiccated. However, between 53% and 100% of the transgenic plants over-expressing SEQ ID NO: 2 from the CsVMV promoter were either fully turgid or slightly wilting, indicating that they are more tolerant of drought conditions than the wild-type Col-0 control plants (Exhibit F). These results provide additional support for the drought tolerance data presented in the specification at Examples 3, 7 and 8.
- 9. The data characterizing the pathogen resistance and drought tolerance of PRDT1 and the PRDT1 orthologs are summarized in the table below. "Y" indicates that the gene conferred pathogen resistance or drought tolerance when directly over-expressed in a transgenic line or in

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an ACTTAG line; "N" indicates that that the gene did not confer pathogen resistance or drought tolerance. Of six genes tested (PRDT1 and five orthologs), five genes conferred resistance to drought tolerance or pathogen resistance and of these, three conferred resistance to both phenotypes.

	Pathogen Resistance	Drought Tolerance
SEQ ID NO: 14	N	Not tested
SEQ ID NO: 11	Y	Y
SEQ ID NO: 2	Y	Y
SEQ ID NO: 13	N	Ý
SEQ ID NO: 12	Y	N
At4g36570	Y	Y

10. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

John P/Davies Ph D

Durane

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Exhibit A

SEQ ID NO: 14 Pathogen Resistance Data

					_	-	ct	ial C	ounts			Percent	ge of Pl	ante Exe	mined	\neg
Flat	T1 Name	T1 Parent ID	TAIR Gene	0	1	T	3	4	No Score	Total	0	1	2	3	4	0+1
PF20001165				В	2	2 1	13	89	0	194	4%	1%	1%	58%	36%	5%
PF20001165	DX01348001	ZX00109SP1	At1g19490	11	oI.	ıL	4	53	0	69	1%	0%	1%	20%	77%	1%
	DX01348002			2			\$	57				0%	1%	42%	55%	2%
	DX01348003			5			13	48	0		7%	3%	0%	19%	71%	10%
	DX01348004			0			25	52	0	78	0%	0%	1%	32%	87%	0%
PF20001165	DX01348005	ZX00109SP1	At1g19490	0	ol.	0	9	.44	0	50	0%	0%	0%	12%	88%	0%
	DX01348007			0			8	59	0	71	0%	3%	3%	11%	83%	3%
	DX01348008			0	ol:	2	27	53	0	82	%0	0%	2%	33%	65%	0%
	DX01348009			0	o	0	12	57	0	69	0%	.0%	0%	17%	83%	0%
	DX01348010			11			12	89				0%	0%	15%	84%	1%
	DX01348011	ZX00109SP1	At1g19490	2	o[0	35	37	0	74		0%	0%	47%	50%	3%
PF20001166				8	ol.	7	73	113		199	3%	.0%	4%	37%	57%	3%
	DX01348012			11	o[·	4	23	23	0	51	2%	0%	8%	45%	45%	2%
	DX01348013			0			12				0%	0%	0%	19%	81%	0%
	DX01348014						9	53	0	62		0%	0%	15%	85%	0%
PF20001188	DX01348015	ZX00109SP1	A11g19490	11	1	3	10	45	0	60	2%	2%	5%	17%	75%	3%

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Exhibit B

SEQ ID NO: 11 Pathogen Resistance Data

						Ac	tual C	cunte				Percenta	ge of Pi	ents Ex	mined	
Flat	T1 Name	T1 Parent ID	TAIR Gene	0	1	2	3	4	No Score	Total	0	1	2	3	4	0+1
PF20001164				2	2	8	119	67	0	189	1%	1%	5%	63%	30%	2%
PF20001164	DX01349001	ZX00110SP1	At1019510		4	9	\$	21	0	72	1%	6%	8%	56%	29%	7%
		ZX00110SP1		27	23	21	25	. 6	0	102	26%	23%	21%	25%	6%	49%
		ZX00110SP1		5	9	14	53	13		91	2%	10%	15%	58%	14%	12%
		ZX00110SP1		39	. 21	10	16	6	0	93	42%	23%	11%	19%	5%	65%
		ZX00110SP1		0	0	2	45	11	0	58	0%	0%	3%	76%	19%	0%
		ZX00110SP1		16	'21	. 11	30	. 0	. 0	. 78	21%	27%	14%	38%	0%	47%
PF20001164	DX01349014	ZX001108P1	At1019510	.12	11	16	34	1		74	16%	15%	22%	46%	1%	31%
PF20001164	DX01349015	ZX00110SP1	At1g19510	15	. 24	22	15	0		78	20%	32%	29%	20%	.0%	51%
		ZX001105P1		- 1		13	48	٠,	1	73	1%	8%	18%	66%	7%	10%
PF20001164	ZX01018002	ZX00110SP1	At1g19510	2	4	17	31	1		57	4%	7%	30%	54%	4%	

SEQ ID NO: 11 Drought Tolerance Data

										drou	ght score	(DAY17			
Line	DRO			parent ID								1	. 2		0+1
W000092158	DRO403	At1g19510	Z002050	W000092158	12	0	-0	0	12	12	100.0%	0.0%	0.0%	0.0%	100.0%
W000148331	DR0403	At1g19510	Z002083	W000148331	1	10	7	1	11	19	5.3%	52.6%	38.8%	5.3%	57.9%
Col-0			all Col-0	drought	1	21	107	327	22	456	0.2%	4.8%	23.5%	71.7%	4.8%

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Exhibit C

SEQ ID NO: 12 Pathogen Resistance Data

					_	Ac	tua	Cou	ınte		Perc	entag	e of F	lants	Exam	beni
Flat	T1 Name	T1 Parent ID	TAIR Gene	0	1	2	3	4	No Score	Tot ai	0	1	2	3	4	0+1
	COL-0			6	0	7	73	113	0	199	3%	0%	4%	37%	57%	3%
		ZX00049SP1		1	5	14	22	25	0	67	1%	7%	21%	33%	37%	9%
		ZX00049SP1		5	8	27	23	21	0	84	6%	10%	32%	27%	25%	15%
		ZX00049SP1		3	0	0	42	39	0	84	4%	0%	0%	50%	46%	4%
		ZX00049SP1		2	5	19	43	5	0	74	3%	7%	28%	58%	7%	9%
		ZX00049SP1		13	10	20	29	_	0	73	18%	14%	27%	40%	1%	32%
PF20001166	ZX01018009	ZX00049SP1	At2g21850	4	12	23	40	5	0	84	5%	14%	27%	48%	6%	19%
PF20001187																
PF20001167	COL-0			1	0	2	89	31	0	123	1%	0%	2%	72%	25%	1%
PF20001167	ZX01018010	ZX00049SP1	At2g21650	0	2	24	71	7	0	104	0%	2%	23%	68%	7%	2%
		ZX00049SP1		3	8	17	49	2	0	79	4%	10%	22%	62%	3%	14%
		ZX00049SP1		1.1	3	8	33	39	0	85	1%	4%	11%	39%	48%	5%
		ZX00049SP1		26	23	14	12	12	0	87	30%	28%	16%	14%	14%	56%
		ZX00049SP1		0	0	5	31	4	0	77	0%	0%	6%	40%	53%	0%
PF20001167	ZX01018015	ZX00049SP1	At2021650	0	0	4	23	55	0	82	0%	0%	5%	28%	67%	0%

SEQ ID NO: 12 Drought Tolerance Data

					Ι.					droug	ht score	(DAY1	7)		
Line	DRO	TAIR	Pool ID	parent ID	0	1	2	3	0+1	total	Q	1	2	3	0+1
				W000171162											
W000175277	DRO401	At2g21650	Z002095	W000175277	0	0	21	9	0	30	0.0%	0.0%	70.0%	30.0%	0.0%
Col-0			all Col-0	drought	11	21	107	327	22	456	0.2%	4.6%	23.5%	71.7%	4.8%

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Exhibit D

SEQ ID NO: 13 Pathogen Resistance Data

							Actu	ıl C	ounts		Per	centa	ge of	Plants	Exa	nined
Flat	T1 Name	T1 Parent ID	TAIR Gene	0	1	2	3	4	No Score	Total	0	1	2	3	4	0+1
PF20001183				1	0		164		0	189	1%	0%	9%	67%	4%	1%
		ZX00097SP1						1	0	77	0%	13%	35%	51%	1%	13%
		ZX00097SP1						0	0	62	3%	3%	21%	73%	0%	6%
PF20001163	DX01157008	ZX00097SP1	At4g39250	0	4	16	39			59	0%	7%	27%	66%	0%	7%
PF20001163	DX01157009	ZX00097SP1	Al4g39250	1	3	13	38	0	0	55	2%	5%	24%	89%	0%	7%
		ZX00097SP1						12		58	0%	0%	5%	74%	21%	0%
		ZX00097SP1						0	0	58	0%	0%	31%	69%	0%	0%
		ZX00097SP1							0	62	6%	0%	16%	77%	0%	6%
		ZX00097SP1								57	0%	0%	11%	89%	0%	0%
PF20001163	DX01157014	ZX00097SP1	At4g39250	1	0	6	48	0	1	58	2%	0%	11%	86%	0%	2%
PF20001163	DX01157015	ZX00097SP1	At4g39250	4	3	15	39	0	0	61	7%	5%	25%	64%	0%	11%

SEQ ID NO: 13 Drought Tolerance Data

					1					droug	ht score	(DAY17)		
				parent ID								1	2		0+1
W000139673	DRO402	At4g39250	Z002077	W000139673	6	1	5	1	7	13	46.2%	7.7%	38.5%	7.7%	53.8%
	DRO402	At4g39250	Z002044	W000086832	0	9	3	5	9	17	0.0%	52.9%	17.6%	29.4%	52.9%
Col-0			all Col-0	drought	1	21	107	327	22	456	0.2%	4.6%	23.5%	71 7%	4 8%

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Exhibit E

At4g36570 Pathogen Resistance Data

					_	- A	ctual	Co	unts		Perc	entag	e of I	lants	Exan	henin
Flat	T1 Name	T1 Parent ID	TAIR Gene	٥	1	2	3	4	No Score	Total	0	1	2	3	4	0+1
PF20001162				٥	54	60	177	12		303	0%	18%	20%	58%	4%	18%
PF20001162	DX01156011	ZX00096SP1	At4936570	0	0	10	48	0	0	56	0%	0%	18%	82%	0%	0%
		ZX00096SP1		0	0	4	51	0	0	55	0%	0%	7%	93%	0%	0%
		ZX00096SP1		1	1	12	43	2	-0	59	2%	2%	20%	73%	3%	3%
		ZX00096SP1				29		0	0	58	0%	0%	50%	50%	0%	0%
PF20001162	DX01156015	ZX00096SP1	At4g38570	7	21	33	. 0	0	0	61	111%	34%	54%	0%	'0%	46%
PF20001162	DX01157001	ZX00096SP1	At4g36570	19	19	16	- 5	0	- 0	59	32%	32%	27%	8%	0%	64%
PF20001182	DX01157002	ZX00096SP1	At4g36570	28	31	6	0	0		65	43%	48%	9%	0%	0%	91%
PF20001162	DX01157003	ZX00096SP1	At4g36570	26	28	0	0	0		54	48%	52%	0%	0%	0%	100%
PF20001162	DX01157004	ZX00096SP1	At4g36570	11	34	18	0	0	- 0	63	17%	54%			0%	
PF20001162	DX01157005	ZX00096SP1	At4g36570	23	35	. 9	. 0	0		67	34%	52%	13%	0%	0%	87%
PF20001163				17	16	25	96	33	0	187	9%	9%	13%	51%		
PF20001183	DX01156001	ZX00096SP1	At4g36570	12	15	18	31	-1	. 0	75	16%	20%	21%	41%	1%	36%
PF20001183	DX01156002	ZX00096SP1	At4038570	8	1	14	72	1	0					75%	1%	9%
		ZX00096SP1			35	24	- 8	- 1	. 0	74	8%	47%	32%	1196	1%	55%
PF20001183	DX01156004	ZX00098SP1	At4g36570	2	3	9	54	1	0	89	3%	4%	13%	78%	1%	
		ZX00096SP1			0	8	48	10	0	59	5%	0%	14%	81%	0%	5%
		ZX00096SP1			0	1	52	0	0	57	7%	0%	2%	91%	0%	
PF20001163	DX01156007	ZX00096SP1	At4g36570	0		3	49	3	C	55	0%	0%	5%	89%	5%	
PF20001163	DX01156008	ZX00096SP1	At4g36570	12	2	6	58	0	1	79	15%	3%	8%	73%	0%	18%
PF20001163	DX01156009	ZX00096SP1	At4g36570	8	0	3	61	1	0	73	11%	0%	4%	84%	1%	

At4g36570 Drought Tolerance Data

					_	_				droug	ht score	(DAY17)			
Line	DRO	TAIR		parent ID		1				total	0	1	2		0+1
W000025222	DR0404	At4g36570	Z002029	W000025222	6	3	3	2	9	14	42.9%	21.4%	21,4%	14,3%	64.3%
Col-0			all Col-0	drought	1	21	107	327	22	455	0.2%	4.6%	23.5%	71.7%	4.8%

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Exhibit F

SEQ ID NO: 2 Pathogen Resistance Data

					_	_	A	tual	Col	inta	_		ercent	oe of	lants E	xamine	4
Experiment	Flat	T1 Neme	T1 Parent ID	TAIR Gene	۰	1	2	,	4	No Score	Tot al	0	1	2	3	4	0+1
	PF20001059	Z000843001	Z000186SP1	At1q75250	14	1	1	78	13		105	13,3%	1.0%	1.0%	72.4%	12.4%	14.39
	PF20001059	2000843002	Z000186SP1	At1q75250	10		- 5	32	4		51	19.6%	0.0%	9.8%			19.8
	PF20001059	Z000843003	2000186SP1	At1075250	12	3	13	22	4		54	22.2%	5.8%	24,1%		7.4%	27.8
	PF20001059	Z000843006	Z000186SP1	At1075250	18	2	14	10			42	38,1%		33.3%			42.9
	PF20001059	Z000843007	Z000186SP1	Al1075250	11		14	21		-	54			25,9%		0.0%	35.2
1	PF20001059	Z000643008	Z000186SP1	At1q78250	25	2	8	- 6		-	40			20.0%		0.0%	67.5
	PF20001059	Z000843009	Z0001888P1	At1075250	14	.9	10	23			56	25.0%		17.9%			41.11
	PF20001050	Z000643011	Z000188SP1	At1g75250	22			-11		-	48			23.9%	23.0%	0.0%	52.2
	PF20001059	2000643012	Z000188SP1	At1075250	18	3	8	.25	4		54	29.8%		11,1%		7.4%	35.2
	PF20001059	Z000843013	Z000186SP1	Atto75250	112				.3	-	33	38.4%		15.2%		9.1%	39.4
	PF20001059	COL-0	(blank)	COL-0			36			_	190					19.0%	15.1
	PF20001080	Z000643021	Z0001868P1	At1q78250	28	4	8		. 3		49	57.1%	8.2%		12,2%		65,3
	PF20001080	Z000643022	Z000188SP1	At1075250	3	1	2	37			81	8.9%			72.59		7.8
2	PF20001060	2000643023	Z0001888P1	At1078250	29			12	1	-	60	58.0%			24.09		62.0
-	PF20001060	2000643026	Z000186SP1	Al1075250	7		1.1	0			18	43.8%			56.3%		
	PF20001050	Z000643062	Z000186SP1	At1975250			1		12		52	78.8%			15.49		
	PF20001060	COL-0	(blank)	COL-0		11	34	100			201	10,9%			40.00	16.9%	
	PF20001093	2000545054	Z000190SP1	At1075250	┲	17	2	10			22	0.0%	4.5%		45.59		4.5
3	PF20001093	Z000645055	Z000190SP1	At1q75250	1	1 3	3	11	4		21			14,3%	R2 49	19.0%	14.3
	PF20001093	COL-0	(blank)	COL-0	1	т	1	7	5	_	13		0.09	77%	53.89	38.5%	
			Z000190SP1		_	1	4	18			26	0.0%		15.4%			
4	PF20001094	2000645057	Z000190SP1	AH1075250	1	7	1	- 8	1	_	21			19,09			
	PF20001094	COL-0	(blank)	COL-0	-	17	4	6		-	12			33.3%			
			Z0001868P1		1 2			-		-	1 8		20.09		0.03		100.0
	PF20001113	7000643011	Z000185SP1	At1075250	1			-	ŕ		1 8			12.59			
	PF20001113	7000643014	Z000186SP1	A11078250	tě		٠.	100	-	-	1 %			0.01			
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5	PF20001113	7000843021	Z000186SP1	A11075250	H		+-		١.,	-	1 8					12.5%	
	PF20001113	7000643022	Z000186SP1	Atto75250	1 2		3	2		7 C. O. L.	111			27.39			
	PF20001113	2000043023	Z0001868P1	At1075250	۲	1		3		-	1			37.59			
			Z000186SP1		+,	1	13	3		-	1 4			0.09			100.0
	PF20001113	COLO	(blank)	COL-0	13	1 3	2	24	22	-	52					42.3%	
	PE20001110	7000043008	Z000188\$P1	AM -74040	1.3			- 44	-	-	1 34			0.09			
	DE20001110	7000043006	Z000186SP1	ALIG/ 6260	8			-	3	-	11						100.0
	PE20001118	7000043011	Z0001868P1	At1075250	1			-4	13	-	1		27,39			27.3%	
			Z000186SP1		H			-	-	-	1 5			0.09			
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	DF20001116	70000543021	Z000186SP1	ALIG/5250				٠.,	8		117			0.09		50.0%	
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	PF 200011118	2000643006	Z000186SP1	Atto/5250	15					-	7			6 14.39		14.39	
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			Z000186SP1						11	1				6 37,55		0.09	
7	PF 20001118	2000643019	Z000186SP1	M110/8250	11	3		3.0	172	1.45				6 0.01		0.09	100.0
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			Z000188SP1		1	Ľ		1		3	12		25.0			6 50.09	
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SEQ ID NO: 2 Drought Tolerance Data

												drou	ght score	(0AY17)		
	DRO		Relative	treatment	Pool ID	Darrent ID	9		2	2	0±1	letest	9	1	2	3	0+1
W000114956	DRO4	Al1g75250	29,18	drought	Z002062	W000114956	-	111	- 6	0	17	23	26,1%	47.6%	26.1%	0.0%	73.9%
W000091083	DRO4	At1075250	0.27	drought	Z002047	W000091083	4	7	7	0	11	18	22.2%	38.9%	38.9%	0.0%	61,1%
Col-0				drought	all Col-0	drought		21	107	327	22	456	0.2%	4.6%	23.5%	71 7%	4.8%

Attorney Reference Number 6616-72707-02 Application Number 10/697,787

Drought Tolerance Data for Transgenic Lines Over-Expressing SEQ ID NO: 2

			drought soore (DAY17)										
			9 1		1	2 O+1		HANK	9,004	100.0%	200.0%	300,026	0+1
TAIR	T1 Plant ID	Derent ID		1	2	3	0+1	total	0.0%	100.0%	200.0%	300.0%	Q+1
At1g75250		Z000643014	30	3	-0	0	33	. 33	90.9%	9.1%	0.0%	0.0%	100,0%
At1075250	Z000186SP1	2000843026	2	0	0	0	7 2	2	100.0%	0.0%	0.0%	0.0%	100.0%
At1q76250	Z0001868P1	2000643012	16	6	-	_	22	23	69.6%	20,1%	0.0%	4.4%	95.7%
At1075250	Z000186SP1	2000843021	1	11	1		12	13	7.7%	84.6%	7.7%	0.0%	92.3%
At1075250	Z000186SP1	Z000643013	15	11	2	3	26	31	48.4%	35.6%	6.6%	9.7%	83.9%
At1075250	Z0001868P1	Z000643011	5	4	3	0	,	12	41.7%	33.3%	26.0%	0.0%	78.0%
At1075250	Z0001868P1	2000643009	7	1	4	3	6	15	46.7%	6.7%	26.7%	20.0%	53.3%
		Col-0	1	21	107	327	22	456	0.2%	4.8%	23.5%	71.7%	4.8%

Declaration - Page 12 of 12

TIBS 21 - MARCH 1996

The SANT domain: a putative DNA-blnding domain in the SWI-SNF and ADA complexes, the transcriptional corepressor N-CoR and TFIIIB

Current models of transcriptional co-activators and co-repressors envisage that these complexes function by protein-protein interaction mechanisms. although the details are not well understood1, Recently, a new co-repressor, N-CoR, was described as a regulator of thyrold and retinoic acid receptors2

In self-comparisons of the N-CoR amino-acid sequence, we found two copies of a -50-residue motif spaced 129 residues apart. These repeats are flanked by two regions that have repressor properties². Sequence-database searches revealed one or two copies of this motif in several other proteins (Fig. 1a). Some of these are database entries of poorly determined function, but we noticed matches with three proteins from yeast that participate in basal or activated transcription complexes. SWI3 and ADA2 are components of either the SWI-SNIF13 or ADA ... transcriptional activation complexes, while the B" subunit of TFIfIB5 is a component of the RNA polymerase life initiation complex. Therefore, we call this the SANT (SWI3, ADA2, N-CoR and TFIIIB B") domain, Further refined database searches identified the I-SWI proteins (HuSN2L, CeSN2L, DmISWI and ScYBR245)6 as a SANT-domain outgroup.

The coincidence between SANT-domain proteins with known functions and transcriptional co-factor initiation complexes suggests that the SANT domain is specifically involved in transcriptional regulation.

A ciue to a putative lunction of SANT domains came from further sequence alignments. In both BLASTP and profile searches, SANT domains consistently scored well with the DNA-binding domains (DBDs) of myb-related proteins The myb DBDs consist of two or three . tandemly repeated subdomains, each resembling the homeo-domain helix-loop-helix motlf18. SANT-myb DBD alignments show a number of highly conserved residues, including the three aromatic residues (Trp6, Trp26 and Trp49) important to the hydrophobic core of the myb repeats.

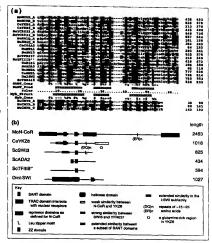
The similarity of SANT domains to myb DBDs is further supported by the SANT secondary structure prediction. The speculation that SANT domains are DBDs is also concordant with the frequent

presence of positive charges immediately carboxy-terminal to the third helix in positions that, based on the myb DBD-DNA complex^a, would be well placed to interact with DNA phosphates.

As the minimal myb DBD is composed of two repeats, the DBD prediction is particularly strong for those proteins.

PROTEIN SEQUENCE MOTH

such as N-CoR, that display two SANT domains. For those proteins with one SANT domain, the Implication is less clear. However, two proteins with single myb repeats implicated in DNA binding have been reported.10. As the SWI-SNF and TFIIIB complexes have considerable affinity for DNA, which is apparently not



(a) Alignment of SANT domains colour coded with the program COLOURMASK according to conserved residue similarity²³. A consensus com mon to the SANT domains is shown: %, semi-conserved hydrophobicity; #, strongly conserved hydrophobicity; -, conserved acidic residues; +, conserved by objects, it is a series of the human cMYB-DNA-binding domain are likewise shown for extended sheet. The three reposts of the jumps of MTD DNA-brinding domain are linewise shount for compension. For the mery repeats, the secondary structure assignment to least on the known three-dimensional structure of the marine chips poster? Gights sequence distables searches were permitted to the contract of the secondary professional distables searches were permitted to the contract of the secondary professional distables searches. The professional distables searches were permitted to the contract of the secondary professional distables searches and pairwise with the contract of COSNCIL, ser SXG_CAREL: DNSW, to DNSW, to DNSW, to SCHEZES, ser YBS_YAST; HICOMPS, ser MRTU, brance. Absorbation scent as, WhiteSHOP, it and on the translessed versions of the EMBL. MRTU, brance. Absorbation scent as, WhiteSHOP, and the translessed versions of the EMBL. Species names are indicated as: No. 1999. The service of the translessed version of the translessed and the service of the translessed and the service and the service are indicated at the right. Further information on the SAMI domains and the methods used for sequence analysis are available on the WIM from tastly / www.bash. pairsien/SAMI/EMBL (A) Avangement of SAMI and other on the WIM from tastly / www.bash.pairsien/SAMI/EMBL (A) Avangement of SAMI and other on the WIM from tastly / www.bash.pairsien/SAMI/EMBL (A) Avangement of SAMI and other translessed to the sami service of the same service of th domains in a selected set of omtains

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the post-docs of Rap w: IN: PATENT CHASS THE GENE WE'S LIKE TO PATENT IS ABOUT THIS LONG YOU MEAN ABOUT This LONG? No. ABOUT THIS LONG WITH THE UP-STARAM SEQUENCE ... AND ABOUT THIS LONG FY YOU COUNT THE COBING SEQUENCE ALANE, FINISHING WITH A STOP LODON ... NOW HANG ON - JUST WHAT EXACTLY CONSTITUTES THIS GENE? ARE YOU INCLUDING THE INTRONS OR THE COS ALONE ? DOES A REAULATORY REALON CORNT! HAVE YOU GENETICALLY DIFINED ALL THE MY-TTREAM AND DOWN STREAM SITES AND ENHANCERS? PHEW. HARD WORK. I'M GLAD I'M A SCIENTIST AND NOT A LAWYER!

> ETHICAL NATURE ? 5 Pete Jeffs is a freelancer working in Paris, France,

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AND WHAT HAPPENS WHEN YOR HAVE TO MAKE A DECISION OF A BIO-

sequence specific, it is possible that single SANT domains contribute to general DNA affinity.

For TFILIB, site-specific DNA binding is directed by the recruitment factor, TFIJIC, and can persist after TFIIIC removal5. The identification of SANT domains in TFIIIB. SWI-SNF, ADA and I-SWI complexes suggests, by analogy, that these co-activator complexes are specifically located to DNA sites by recruitment factors. whereupon they persist by virtue of their DNAbinding properties. Alternatively, DBDs are known to be common targets for protein-protein interactions11 and the

adapted to this role. The prediction that N-CoR has a DBD suggests that it might be a sequence-specific transcription factor, if so, then the relationship between N-CoR and both thyroid or retinoic acid receptors might be similar to the relationship between AP-1 and other nuclear receptors such as the glucocorticold receptor12, in this case.

SANT domains might be

two signal-transduction pathways negatively regulate each other, apparently through protein-protein interactions between DNA-sequence-specific transcription factors.

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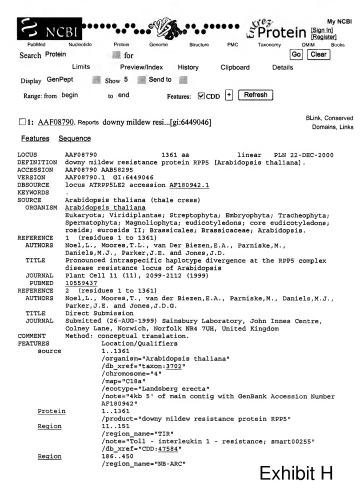
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Aug 15 2006 13:27:38

Blast Result Page 1 of 2



BLAST 2 SEQUENCES RESULTS VERSION BLASTP 2.2.14 [May-07-2006]

Sequence 1: gi|6449046|gb|AAF08790,1|downy mildew resistance protein RPP5 [Arabidopsis thaliana] Length = 1361

0.01 sys. secs

Sequence 2: gi|109946537|gb|ABG48447.1|At1g75250 [Arabidopsis thaliana] >gi|15222161|ref|NP_177661.1| transcription factor [Arabidopsis thaliana] >gi|41618978|gb|AS09995.1| MYB transcription factor [Arabidopsis thaliana] >gi|10092271|gb|AAG12684.1| myb-related protein; 20671-21051 [Arabidopsis thaliana] Length = 126

No significant similarity was found

0.03 user secs.

CPU time:

Lambda 0.319 0.136 Gapped Lambda 0.267 0.0410 0.140 Matrix: BLOSUM62 Gap Penalties: Existence: 11, Extension: 1 Number of Seguences: 1 Number of Hits to DB: 1008 Number of extensions: 700 Number of sequences better than 10.0: 0 Number of HSP's gapped: 0 Number of HSP's successfully gapped: 0 Length of query: 1361 Length of database: 1,358,419,857 Length adjustment: 147 Effective length of query: 1214 Effective length of database: 1,358,419,710 Effective search space: 1649121527940 Effective search space used: 1649121527940 Neighboring words threshold: 9 X1: 16 (7.4 bits) X2: 129 (49.7 bits) X3: 129 (49.7 bits)

Exhibit I

Structure

0.04 total secs.

S1: 41 (21.8 bits)

Blast Result Page 2 of 2

S2: 85 (37.4 bits)